

# Pinnacle Entertainment®

## CONDUCTING BUSINESS WITH THE PINNACLE CORPORATE OFFICES

The Company has the responsibility to ensure that it and its affiliates do business only with suppliers, purveyors and other providers of goods and services who are deemed Suitable Persons by the various gaming authorities in the jurisdictions that Pinnacle does business. Accordingly, all vendors conducting business with the Pinnacle Corporate Offices and who are anticipated to provide **over \$500,000** in goods or services during the fiscal year will be subject to a review for evidence of good reputation in the business community.

A vendor registration packet (as described above) will be required along with the following: (i) the licensing or approval by any Gaming Authority or by any other governmental or professional licensing authority (ii) favorable information generally available to the Company from the business or professional community; or (iii) information derived from prior relationships or dealings between such Persons and the Company or its Affiliates.

Any vendor who provides **over \$5,000,000 in** goods or services will be subject to a review by Pinnacle's Compliance Committee. If requested, the vendor shall promptly provide Pinnacle Entertainment Inc.'s Compliance Committee, with all information reasonably required with respect to the vendor and its Affiliates' officers, directors, key employees and shareholders, financial condition, litigation, indictments, criminal proceedings and the like in which they are or may be involved, if any, in form and substance required by Pinnacle ("Requested Information") in order for Pinnacle to determine whether the Requested Information discloses any fact which might adversely affect, in any manner, any gaming licenses or permits held by Pinnacle or any of their affiliates, or the current stature of Pinnacle or its affiliates with any gaming commission, board or similar regulatory authority. In the event the vendor fails to provide the Requested Information promptly, or if the information provided with respect to the vendor or its respective affiliates in the reasonable opinion of Pinnacle might adversely affect the gaming or liquor licenses or permits held by Pinnacle or its affiliates or the current stature of Pinnacle or its affiliates with any gaming commission, board, or similar governmental or regulatory agency, then Pinnacle shall have the right to immediately terminate this Agreement with no further obligations or liability to the vendor by Pinnacle. This is a continuing obligation of the vendor upon request of Pinnacle's Compliance Committee.

Any vendor entering into a contract that is executed at the Corporate level on behalf of the Pinnacle operating properties will be required to comply with the regulations in **all** applicable jurisdictions. The Corporate Procurement Office can advise you whether you're good or services will be used at any of Pinnacle's subsidiaries.



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## CONDUCTING BUSINESS WITH PROPERTIES IN THE STATE OF LOUISIANA

### *Louisiana State Permit Requirements*

The Louisiana Department of Public Safety and Corrections, Office of the State Police Gaming Enforcement Division, as provided in the State of Louisiana Administrative Code 42:2108, requires that all suppliers and/or vendors of goods and services to the Louisiana Gaming Industry, file a permit application, along with the appropriate fee, **and obtain approval** preceding any business transaction exceeding permit thresholds.

All **non-gaming suppliers** of goods and/or services that expect gross sales to exceed \$200,000 during a calendar year sold directly to the Louisiana Gaming Industry, are required to complete a Louisiana Non-Gaming Supplier Permit Application. **In order to facilitate compliance with Louisiana law, no business activity will be conducted by Pinnacle with any vendor whose gross sales exceed \$150,000 during the calendar year until permit approval by the Riverboat Gaming Division.**

All **gaming** suppliers of goods and/or services such as gaming devices and/or equipment must file an application **regardless** of their expected gross sales to the Louisiana Gaming Industry.

For questions concerning the Riverboat Gaming Application, contact the Louisiana Department of Public Safety and Corrections, office of the State Police Gaming Enforcement Division at 225-925-1900 or [www.lsp.org/gaming](http://www.lsp.org/gaming).

### *Vendor Registration Requirements*

All Vendors providing goods and services to Pinnacle's Louisiana properties are required to fill out the **Vendor Compliance Affidavit**.

As part of the vendor registration packet, certification of minority owned, women owned, and Louisiana based business is required. The definition for these types of businesses is as follows:

**Minority Business** – A business which is at least 51% owned by one or more minority individuals domiciled in Louisiana who also control and operate the business. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means being actively involved in the day-to-day management of the business. In the case of publicly-owned business, at least 51% of the stock must be owned by one or more minority individuals domiciled in Louisiana who control and operate the business.

Those individuals to be defined as minorities must be citizens of the United States (or lawfully admitted permanent residents) and are either African American, Asian American (or Pacific islander), native American (or Alaskan Native), Hispanic American, and any other minority recognized by the Gaming Control Board.

**Female Business** – A business that is at least 51% owned by one or more women who are citizens of the United States, domiciled in Louisiana, and who also control and operate the business. This means that these individuals must be actively involved in the day-to-day management of the business and must exercise the power to make policy decisions.

**Louisiana Business, Louisiana Company or Louisiana Corporation** – A business, company or corporation which is at least 51% owned by one or more Louisiana domiciled individuals who also control and operate the business. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means being actively involved in the day-to-day management of the business. In the case of any publicly-owned business, at least 51% of the stock must be owned by one or more individuals domiciled in Louisiana who control and operate the business.



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# CONDUCTING BUSINESS WITH PROPERTIES IN THE STATE OF MISSOURI

## *State of Missouri Vendor Requirements*

The state of Missouri **does not** require vendors of **non-gaming products** or services to be licensed by the state. Any vendor expected to be paid **over \$100,000** within a 12 month period will require a background check to be conducted by Pinnacle's Compliance Department. The Company does not conduct background checks on government agencies, utilities, or financial institutions. Limited reviews are done on publicly traded companies. Questions regarding the background check process may be directed to the Pinnacle Corporate Compliance Department at 702-541-7777.

A **supplier's license is required** for any company or individual that provides **gaming related** equipment devices, services or supplies to a licensed riverboat gambling operator.

## *Vendor Registration Requirements*

All Vendors providing goods and services to Pinnacle's Missouri properties are required to fill out the **Vendor Compliance Affidavit and Minority Business Enterprise (MBE) and Woman Business Enterprise (WBE) Affidavit forms**.

As part of the vendor registration packet, certification of minority owned, women owned, and Missouri based business is required. The definition for these types of businesses is as follows:

**Minority Business** – A business which is at least 51% owned by one or more minority individuals who also control and operate the business. In the case of publicly-owned business, at least 51% of the stock must be owned by one or more minority individuals who control and operate the business.

Those individuals to be defined as minorities must be citizens of the United States (or lawfully admitted permanent residents) and are either African American, Asian American (or Pacific islander), native American (or Alaskan Native), Hispanic American, and any other minority recognized by the Gaming Control Board.

**Female Business** – A business that is at least 51% owned by one or more women who are citizens of the United States and who also control and operate the business. This means that these individuals must be actively involved in the day-to-day management of the business and must exercise the power to make policy decisions.

**Missouri Based Business, Missouri Company or Missouri Corporation** – A business, company or corporation which is at least 51% owned by one or more individuals who also control and operate the business. "Control" in this context means exercising the power to make policy decisions. "Operate" in this context means being actively involved in the day-to-day management of the business. In the case of any publicly-owned business, at least 51% of the stock must be owned by one or more individuals domiciled in Missouri who control and operate the business.



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# CONDUCTING BUSINESS WITH PROPERTIES IN THE STATE OF INDIANA

## *State of Indiana Vendor Requirements*

**Suppliers of gaming equipment**, devices, and any other products or services directly related to gaming operations or affect the flow of money to an Indiana riverboat gambling operation are required to be licensed by the Indiana Gaming Commission. In addition, the Commission has traditionally required that suppliers of gaming equipment repair services, security systems or services, and surveillance systems to be licensed. For additional information please contact the Indiana Gaming Commission at (317) 233-0046.

The Commission **does not** typically license vendors of **non-gaming** related products.

The Indiana Department of Administration, Minority and Women's Business Enterprises Division is authorized to certify minority and women-owned businesses in the state and maintains a list of certified enterprises (i.e., MBEs and WBEs) for the commission. The IDOA may assist the commission in determining compliance with these regulations.

## *Vendor Registration Requirements*

As part of the vendor registration packet, certification of minority owned, women owned, and Indiana based business is required. The definition for these types of businesses is as follows:

**Minority Business** – A business which is at least 51% owned by one or more minority individuals who also control and operate the business. In the case of publicly-owned business, at least 51% of the stock must be owned by one or more minority individuals domiciled in Indiana who control and operate the business. In the state of Indiana, the owner of a for profit minority or women owned business does NOT have to be domiciled in the state of Indiana to qualify for M/WBE. Only Non-profit minority or women owned organizations have to be headquartered in Indiana.

Those individuals to be defined as minorities must be citizens of the United States (or lawfully admitted permanent residents) and are either African American, Asian American (or Pacific islander), native American (or Alaskan Native), Hispanic American, and any other minority recognized by the Indiana Gaming Commission.

**Female Business** – A business that is at least 51% owned by one or more women who are citizens of the United States who also control and operate the business. This means that these individuals must be actively involved in the day-to-day management of the business and must exercise the power to make policy decisions.



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